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Attorney's Docket: 009270-0306811  
Client Reference: 50G35570-USA-AT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT APPLICATION of:

Confirmation No: 5242

YOKOI

Application No.: 10/716,537

Group Art Unit: 2621

Filed: November 20, 2003

Examiner: NOT ASSIGNED

Title: INDIVIDUAL RECOGNIZING APPARATUS AND INDIVIDUAL RECOGNIZING METHOD

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATION OF FACSIMILE TRANSMISSION  
UNDER 37 C.F.R. §1.8**

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (571) 273-8300 on the date shown below:

Information Disclosure Statement

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(Certification of Facsimile Transmission--page 1)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 10/716,537

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For: INDIVIDUAL RECOGNIZING APPARATUS AND INDIVIDUAL RECOGNIZING  
METHODINFORMATION DISCLOSURE STATEMENTCommissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir,

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each foreign reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the references has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

The references were cited in a European Search Report, dated May 4, 2006. An English language version of the foreign search report is attached for the Examiner's information. An English abstract has been provided for the German language PCT publication and applicants rely upon this abstract for a statement of relevance for this reference.

Respectfully submitted,

PILLSBURY WINTHROP SHAW PITTMAN LLP

  
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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number	10/716,537
Filing Date	11/20/2003
First Named Inventor	YOKIO
Art Unit	2621
Examiner Name	Unknown
Attorney Docket Number	009270-0306811

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 4827527 A	07/27/2000	MORITA, ET AL.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	GB	2357175 A	06/13/2001	UCHIDA	
	2	WO	0043960 A	07/27/2000	FRISCHHOLZ	
	3	WO	01/24700 A1	04/12/2001	O'GORMAN, ET AL.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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